

Enumerating Teams in First-Order Team Logics

A. Haak, A. Meier, F. Müller, H. Vollmer

Workshop on Logics of Dependence and Independence
August 10, 2020

The logics we use

- ▶ $\varphi ::= \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \forall x \varphi \mid \exists x \varphi \mid R(\bar{x}) \mid \neg R(\bar{x}) \mid x = y \mid x \neq y$
- ▶ team atoms: $=(\dots), \perp, \subseteq$
- ▶ lax semantics
- ▶ examples for classes: $\text{FO}(=(\dots)), \#\text{FO}(\subseteq), \text{DelFO}(\perp)$

The logics we use

- ▶ $\varphi ::= \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \forall x\varphi \mid \exists x\varphi \mid R(\bar{x}) \mid \neg R(\bar{x}) \mid x = y \mid x \neq y$
- ▶ **team atoms:** $=(\dots), \perp, \subseteq$
- ▶ lax semantics
- ▶ examples for classes: $\text{FO}(=(\dots)), \#\text{FO}(\subseteq), \text{DelFO}(\perp)$

The logics we use

- ▶ $\varphi ::= \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \forall x\varphi \mid \exists x\varphi \mid R(\vec{x}) \mid \neg R(\vec{x}) \mid x = y \mid x \neq y$
- ▶ team atoms: $=(\dots), \perp, \subseteq$
- ▶ lax semantics
- ▶ examples for classes: $\text{FO}(=(\dots)), \#\text{FO}(\subseteq), \text{DelFO}(\perp)$

The logics we use

- ▶ $\varphi ::= \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \forall x \varphi \mid \exists x \varphi \mid R(\bar{x}) \mid \neg R(\bar{x}) \mid x = y \mid x \neq y$
- ▶ team atoms: $=(\dots), \perp, \subseteq$
- ▶ lax semantics
- ▶ examples for classes: $\text{FO}(=(\dots)), \#\text{FO}(\subseteq), \text{DelFO}(\perp)$

Results for decision and counting

- ▶ $\text{FO}(\perp) = \text{FO}(=\dots)) = \Sigma_1^1 = \text{NP}$ [Kontinen, Väänänen, 2009]
- ▶ $\text{FO}(\subseteq) = \text{GFP}^+ = \text{LFP} = \text{P}$ [Galliani, Hella, 2013]
- ▶ $\#\text{FO}(\perp) = \#\text{NP}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(\subseteq) \subseteq \text{TotP} \subseteq \#\text{P}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(=\dots))$ contains $\#\text{NP}$ -complete problem, but it seems to not capture $\#\text{NP}$ [Haak et al., 2019]

Results for decision and counting

- ▶ $\text{FO}(\perp) = \text{FO}(=\dots)) = \Sigma_1^1 = \text{NP}$ [Kontinen, Väänänen, 2009]
- ▶ $\text{FO}(\subseteq) = \text{GFP}^+ = \text{LFP} = \text{P}$ [Galliani, Hella, 2013]
- ▶ $\#\text{FO}(\perp) = \#\text{NP}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(\subseteq) \subseteq \text{TotP} \subseteq \#\text{P}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(=\dots))$ contains $\#\text{NP}$ -complete problem, but it seems to not capture $\#\text{NP}$ [Haak et al., 2019]

Results for decision and counting

- ▶ $\text{FO}(\perp) = \text{FO}(=\dots) = \Sigma_1^1 = \text{NP}$ [Kontinen, Väänänen, 2009]
- ▶ $\text{FO}(\subseteq) = \text{GFP}^+ = \text{LFP} = \text{P}$ [Galliani, Hella, 2013]
- ▶ $\#\text{FO}(\perp) = \#\text{NP}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(\subseteq) \subseteq \text{TotP} \subseteq \#\text{P}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(=\dots)$ contains $\#\text{NP}$ -complete problem, but it seems to not capture $\#\text{NP}$ [Haak et al., 2019]

Results for decision and counting

- ▶ $\text{FO}(\perp) = \text{FO}(=\dots) = \Sigma_1^1 = \text{NP}$ [Kontinen, Väänänen, 2009]
- ▶ $\text{FO}(\subseteq) = \text{GFP}^+ = \text{LFP} = \text{P}$ [Galliani, Hella, 2013]
- ▶ $\#\text{FO}(\perp) = \#\text{NP}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(\subseteq) \subseteq \text{TotP} \subseteq \#\text{P}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(=\dots)$ contains $\#\text{NP}$ -complete problem, but it seems to not capture $\#\text{NP}$ [Haak et al., 2019]

Results for decision and counting

- ▶ $\text{FO}(\perp) = \text{FO}(=\dots) = \Sigma_1^1 = \text{NP}$ [Kontinen, Väänänen, 2009]
- ▶ $\text{FO}(\subseteq) = \text{GFP}^+ = \text{LFP} = \text{P}$ [Galliani, Hella, 2013]
- ▶ $\#\text{FO}(\perp) = \#\text{NP}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(\subseteq) \subseteq \text{TotP} \subseteq \#\text{P}$ [Haak et al., 2019]
- ▶ $\#\text{FO}(=\dots)$ contains $\#\text{NP}$ -complete problem, but it seems to not capture $\#\text{NP}$ [Haak et al., 2019]

Introduction to enumeration

Problem: E-SAT

Input: Propositional formula φ

Output: $\{\theta \mid \theta \models \varphi\}$

Definition

Let C be a decision complexity class. The enumeration class $\text{Del}C$ consists of all enumeration problems E , for which there exists a RAM M with oracle $L \in C$ and a polynomial p such that for all inputs x , M enumerates the output set of E with $p(|x|)$ delay and all oracle questions are bounded by $p(|x|)$.

Introduction to enumeration

Problem: E-SAT

Input: Propositional formula φ

Output: $\{\theta \mid \theta \models \varphi\}$

Definition

Let C be a decision complexity class. The enumeration class $\text{Del}C$ consists of all enumeration problems E , for which there exists a RAM M with oracle $L \in C$ and a polynomial p such that for all inputs x , M enumerates the output set of E with $p(|x|)$ delay and all oracle questions are bounded by $p(|x|)$.

Hardness

- ▶ $E_1 \leq_D E_2$, if there is an oracle-bounded EOM M with oracle E_2 that enumerates E_1 with polynomial delay.
- ▶ Exist-E is Σ_k^P -hard $\Rightarrow E$ is $\text{Del}\Sigma_k^P$ -hard [Creignou et al., 2019]
- ▶ B is Σ_k^P -hard and B can be decided by a polynomial time EOM with oracle $E \Rightarrow E$ is $\text{Del}\Sigma_k^P$ -hard

Hardness

- ▶ $E_1 \leq_D E_2$, if there is an oracle-bounded EOM M with oracle E_2 that enumerates E_1 with polynomial delay.
- ▶ Exist- E is Σ_k^P -hard $\Rightarrow E$ is $\text{Del}\Sigma_k^P$ -hard [Creignou et al., 2019]
- ▶ B is Σ_k^P -hard and B can be decided by a polynomial time EOM with oracle $E \Rightarrow E$ is $\text{Del}\Sigma_k^P$ -hard

Hardness

- ▶ $E_1 \leq_D E_2$, if there is an oracle-bounded EOM M with oracle E_2 that enumerates E_1 with polynomial delay.
- ▶ Exist- E is Σ_k^P -hard $\Rightarrow E$ is $\text{Del}\Sigma_k^P$ -hard [Creignou et al., 2019]
- ▶ B is Σ_k^P -hard and B can be decided by a polynomial time EOM with oracle $E \Rightarrow E$ is $\text{Del}\Sigma_k^P$ -hard

A characterization of DeINP

Problem: $\text{E-SAT}_{\varphi}^{\text{team}}$
Input: Structure \mathcal{A}
Output: $\{X \mid \mathcal{A} \models_X \varphi, X \neq \emptyset\}$

Theorem

Let $A \subseteq \{\perp, =(\dots)\}$, there is $\varphi \in \text{FO}(A)$, s. t. $\text{E-SAT}_{\varphi}^{\text{team}}$ is DeINP-complete and therefore $[\text{E-SAT}_{\varphi}^{\text{team}}]_{\leq D} = \text{DeINP}$.

A characterization of DeINP

Problem: $\text{E-SAT}_{\varphi}^{\text{team}}$
Input: Structure \mathcal{A}
Output: $\{X \mid \mathcal{A} \models_X \varphi, X \neq \emptyset\}$

Theorem

Let $A \subseteq \{\perp, =(\dots)\}$, there is $\varphi \in \text{FO}(A)$, s. t. $\text{E-SAT}_{\varphi}^{\text{team}}$ is DeINP-complete and therefore $[\text{E-SAT}_{\varphi}^{\text{team}}]_{\leq D} = \text{DeINP}$.

Membership

Problem: VerifyTeam _{φ}

Input: Structure \mathcal{A} , team X

Output: $\mathcal{A} \models_X \varphi$

Problem: ExtendTeam _{φ}

Input: Structure \mathcal{A} , team X , set of assignments Y

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X', X' \cap Y = \emptyset\} \neq \emptyset$

Membership

Problem: $\text{VerifyTeam}_\varphi$

Input: Structure \mathcal{A} , team X

Output: $\mathcal{A} \models_X \varphi$

Problem: $\text{ExtendTeam}_\varphi$

Input: Structure \mathcal{A} , team X , set of assignments Y

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X', X' \cap Y = \emptyset\} \neq \emptyset$

Del Σ_p^2 -complete case?

Problem: E-MaxSAT $_{\varphi}^{\text{team}}$

Input: Structure \mathcal{A}

Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' X \subsetneq X' \Rightarrow \mathcal{A} \not\models_{X'} \varphi\}$

Problem: ExtendMaxTeam $_{\varphi}$

Input: Structure \mathcal{A} , team X

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X', \forall X'' X' \subsetneq X'' \mathcal{A} \not\models_{X''} \varphi\} \neq \emptyset$

Problem: ExtendMaxTeam' $_{\varphi}$

Input: Structure \mathcal{A} , team X

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X'\} \neq \emptyset$

Del Σ_p^2 -complete case?

Problem: E-MaxSAT $_{\varphi}^{\text{team}}$

Input: Structure \mathcal{A}

Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' \ X \subsetneq X' \Rightarrow \mathcal{A} \not\models_{X'} \varphi\}$

Problem: ExtendMaxTeam $_{\varphi}$

Input: Structure \mathcal{A} , team X

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X', \forall X'' \ X' \subsetneq X'' \ \mathcal{A} \not\models_{X''} \varphi\} \neq \emptyset$

Problem: ExtendMaxTeam' $_{\varphi}$

Input: Structure \mathcal{A} , team X

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X'\} \neq \emptyset$

Del Σ_p^2 -complete case?

Problem: E-MaxSAT $_{\varphi}^{\text{team}}$

Input: Structure \mathcal{A}

Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' \ X \subsetneq X' \Rightarrow \mathcal{A} \not\models_{X'} \varphi\}$

Problem: ExtendMaxTeam $_{\varphi}$

Input: Structure \mathcal{A} , team X

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X', \forall X'' \ X' \subsetneq X'' \ \mathcal{A} \not\models_{X''} \varphi\} \neq \emptyset$

Problem: ExtendMaxTeam' $_{\varphi}$

Input: Structure \mathcal{A} , team X

Output: $\{X' \mid \mathcal{A} \models_{X'} \varphi, X \subsetneq X'\} \neq \emptyset$

Inclusion logic cases

Theorem

For any formula $\varphi \in \text{FO}(\subseteq)$ it holds: $\text{E-SAT}_{\varphi}^{\text{team}} \in \text{DeIP}$.

The same holds for E-MaxSAT, E-MinSAT, E-CMaxSAT.

Inclusion logic cases

Theorem

For any formula $\varphi \in \text{FO}(\subseteq)$ it holds: $\text{E-SAT}_{\varphi}^{\text{team}} \in \text{DeIP}$.

The same holds for E-MaxSAT, E-MinSAT, E-CMaxSAT.

Capturing DelNP using inclusion logic

Problem: E-CMinSAT $_{\varphi}^{\text{team}}$
Input: Structure \mathcal{A}
Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' \neq \emptyset \mathcal{A} \models_{X'} \varphi \Rightarrow |X| \leq |X'|\}$

Problem: CMinSAT $_{\varphi}^{\text{team}}$
Input: Structure $\mathcal{A}, k \in \mathbb{N}$
Output: $\{X \mid \mathcal{A} \models_X \varphi, |X| \leq k\} \neq \emptyset$

Theorem

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. CMinSAT $_{\varphi}^{\text{team}}$ is NP-hard.

Corollary

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. E-CMinSAT $_{\varphi}^{\text{team}}$ is DelNP-complete.

Capturing DelNP using inclusion logic

Problem: E-CMinSAT $_{\varphi}^{\text{team}}$

Input: Structure \mathcal{A}

Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' \neq \emptyset \mathcal{A} \models_{X'} \varphi \Rightarrow |X| \leq |X'|\}$

Problem: CMinSAT $_{\varphi}^{\text{team}}$

Input: Structure $\mathcal{A}, k \in \mathbb{N}$

Output: $\{X \mid \mathcal{A} \models_X \varphi, |X| \leq k\} \neq \emptyset$

Theorem

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. CMinSAT $_{\varphi}^{\text{team}}$ is NP-hard.

Corollary

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. E-CMinSAT $_{\varphi}^{\text{team}}$ is DelNP-complete.

Capturing DelNP using inclusion logic

Problem: E-CMinSAT $_{\varphi}^{\text{team}}$

Input: Structure \mathcal{A}

Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' \neq \emptyset \mathcal{A} \models_{X'} \varphi \Rightarrow |X| \leq |X'|\}$

Problem: CMinSAT $_{\varphi}^{\text{team}}$

Input: Structure $\mathcal{A}, k \in \mathbb{N}$

Output: $\{X \mid \mathcal{A} \models_X \varphi, |X| \leq k\} \neq \emptyset$

Theorem

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. CMinSAT $_{\varphi}^{\text{team}}$ is NP-hard.

Corollary

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. E-CMinSAT $_{\varphi}^{\text{team}}$ is DelNP-complete.

Capturing DelNP using inclusion logic

Problem: E-CMinSAT $_{\varphi}^{\text{team}}$

Input: Structure \mathcal{A}

Output: $\{X \mid \mathcal{A} \models_X \varphi, \forall X' \neq \emptyset \mathcal{A} \models_{X'} \varphi \Rightarrow |X| \leq |X'|\}$

Problem: CMinSAT $_{\varphi}^{\text{team}}$

Input: Structure $\mathcal{A}, k \in \mathbb{N}$

Output: $\{X \mid \mathcal{A} \models_X \varphi, |X| \leq k\} \neq \emptyset$

Theorem

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. CMinSAT $_{\varphi}^{\text{team}}$ is NP-hard.

Corollary

There is a formula $\varphi \in \text{FO}(\subseteq)$ s. t. E-CMinSAT $_{\varphi}^{\text{team}}$ is DelNP-complete.

Summary

- ▶ $[E-SAT_{\varphi}^{team}]^{\leq D} = \text{DelFO}(\perp) = \text{DelNP} = [E-CMinSAT_{\psi}^{team}]^{\leq D}$
- ▶ $[E-SAT_{\chi}^{team}]^{\leq D} = \text{DelFO}(\subseteq) \subseteq \text{DelP}$

	\subseteq	$=(\dots), \perp$
E-SAT	$\in \text{DelP}$	DelNP-complete
E-MaxSAT	$\in \text{DelP}$	DelNP-complete?
E-MinSAT	$\in \text{DelP}$	DelNP-complete
E-CMaxSAT	$\in \text{DelP}$	DelNP-complete
E-CMinSAT	DelNP-complete	DelNP-complete

Summary

- ▶ $[E-SAT_{\varphi}^{team}]^{\leq D} = DelFO(\perp) = DelNP = [E-CMinSAT_{\psi}^{team}]^{\leq D}$
- ▶ $[E-SAT_{\chi}^{team}]^{\leq D} = DelFO(\subseteq) \subseteq DelP$

	\subseteq	$=(\dots), \perp$
E-SAT	$\in DelP$	DelNP-complete
E-MaxSAT	$\in DelP$	DelNP-complete?
E-MinSAT	$\in DelP$	DelNP-complete
E-CMaxSAT	$\in DelP$	DelNP-complete
E-CMinSAT	DelNP-complete	DelNP-complete

Summary

- ▶ $[E-SAT_{\varphi}^{team}]^{\leq D} = \text{DelFO}(\perp) = \text{DelNP} = [E-CMinSAT_{\psi}^{team}]^{\leq D}$
- ▶ $[E-SAT_{\chi}^{team}]^{\leq D} = \text{DelFO}(\subseteq) \subseteq \text{DelP}$

	\subseteq	$=(\dots), \perp$
E-SAT	$\in \text{DelP}$	DelNP-complete
E-MaxSAT	$\in \text{DelP}$	DelNP-complete?
E-MinSAT	$\in \text{DelP}$	DelNP-complete
E-CMaxSAT	$\in \text{DelP}$	DelNP-complete
E-CMinSAT	DelNP-complete	DelNP-complete

First-order Team semantics

- ▶ For atoms α :
 $\mathcal{A} \models_X \alpha$ iff $\forall s \in X: \mathcal{A} \models_s \alpha$
- ▶ $\mathcal{A} \models_X \varphi \wedge \psi$ iff $\mathcal{A} \models_X \varphi$ and $\mathcal{A} \models_X \psi$
- ▶ $\mathcal{A} \models_X \varphi \vee \psi$ iff there are teams $Y, Z \subseteq X$ such that $Y \cup Z = X$, $\mathcal{A} \models_Y \varphi$ and $\mathcal{A} \models_Z \psi$
- ▶ $\mathcal{A} \models_X \forall x \varphi$ iff $\mathcal{A} \models_{X[A/x]} \varphi$
- ▶ $\mathcal{A} \models_X \exists x \varphi$ iff there exists a function $F: X \rightarrow \mathcal{P}(A) \setminus \{\emptyset\}$, such that $\mathcal{A} \models_{X[F/x]} \varphi$

Team semantics new atoms

- ▶ New atoms: dependence $=(\dots)$, independence \perp , inclusion \subseteq .
- ▶ $\mathcal{A} \models_X =(\bar{x}, y)$, iff

$$\forall s, s' \in X: s(\bar{x}) = s'(\bar{x}) \Rightarrow s(y) = s'(y)$$

- ▶ $\mathcal{A} \models_X \bar{x} \perp \bar{y}$ iff

$$\forall s, s' \in X \exists s'' \in X: s''(\bar{x}) = s(\bar{x}) \wedge s''(\bar{y}) = s'(\bar{y})$$

- ▶ $\mathcal{A} \models_X \bar{x} \subseteq \bar{y}$ iff

$$\forall s \in X \exists s' \in X: s(\bar{x}) = s'(\bar{y})$$

Properties of team logics

- ▶ For $A \subseteq \{=(\dots), \perp, \subseteq\}$ the Logic $\text{FO}(A)$ has the empty team property
- ▶ $\text{FO}(=(\dots))$ is downwards closed, that is for $Y \subseteq X$

$$\mathcal{A} \models_X \varphi \Rightarrow \mathcal{A} \models_Y \varphi$$

- ▶ $\text{FO}(\subseteq)$ is union closed, that is

$$\mathcal{A} \models_X \varphi \wedge \mathcal{A} \models_Y \varphi \Rightarrow \mathcal{A} \models_{X \cup Y} \varphi$$

Team logic and second-order logic

- ▶ For every σ -formula φ of $\text{FO}(\perp)$, there is an $\sigma(R)$ -sentence $\psi(R)$ of Σ_1^1 such that for all σ -structures \mathcal{A} and teams X ,

$$\mathcal{A} \models_X \varphi \iff (\mathcal{A}, \text{rel}(X)) \models \psi(R). \quad (1)$$

Conversely, for every $\sigma(R)$ -sentence $\psi(R)$ of Σ_1^1 , there is a σ -formula φ of $\text{FO}(\perp)$ such that (1) holds for all σ -structures \mathcal{A} and *non-empty* teams X .

- ▶ The same as the above holds for formulae of $\text{FO}(=(\dots))$ as well, except that in both directions for $\text{FO}(=(\dots))$ the relation symbol R is assumed to occur only negatively in the sentence $\psi(R)$.

Team logic and second-order logic cont.

- ▶ Let $\varphi(R)$ be a *myopic* σ -formula, that is, $\varphi(R) = \forall \bar{x}(R(\bar{x}) \rightarrow \psi(R, \bar{x}))$, where ψ is a first order σ -formula with only positive occurrences of R . Then there exists a σ -formula $\chi \in \text{FO}(\subseteq)$ such that for all σ -structures \mathcal{A} and all teams X :

$$\mathcal{A} \models_X \chi(\bar{x}) \Leftrightarrow \mathcal{A}, \text{rel}(X) \models \varphi(R).$$

Definiton of #FO(A)

For any set $A \subseteq \{=(\dots), \perp, \subseteq\}$, $\#FO(A)^{\text{team}}$ is the class of all functions $f: \{0, 1\}^* \rightarrow \mathbb{N}$ for which there is a vocabulary σ and an FO(A)-formula $\varphi(\bar{x})$ over σ with a tuple \bar{x} of free first-order variables such that for all σ -structures \mathcal{A} ,

$$f(\text{enc}_\sigma(\mathcal{A})) = |\{X \in \text{team}(\mathcal{A}, (\bar{x})) : X \neq \emptyset \text{ and } \mathcal{A} \models_X \varphi(\bar{x})\}|.$$