

Lindström's Theorem — Problems

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(1) Show that $L(Q_1)$ satisfies the following axioms:

① (Monotonicity)

$$\forall x(\phi(x) \rightarrow \psi(x)) \rightarrow (Q_1x\phi(x) \rightarrow Q_1x\psi(x)).$$

② (Non-triviality) $\neg Q_1x(x = y \vee x = z)$

③ (Keisler's Axiom) $Q_1y\exists x\phi \rightarrow (\exists xQ_1y\phi \vee Q_1x\exists y\phi)$

(Actually, these axioms are complete, i.e. there is a Completeness Theorem for $L(Q_1)$ based on these axioms.)

- (2) Show that an abstract logic L is compact if and only if the following condition holds: If $T \subseteq F$ is such that every model satisfies some $\phi \in T$, then there is a finite $T_0 \subseteq T$ such that every model satisfies some $\phi \in T_0$.
- (3) Suppose $L_{\omega\omega} \leq L$. Show that L has the Löwenheim-Skolem Property if and only if the following condition holds: If $T \subseteq F$ and $\phi \in F$ are such that every countable model of T is a model of ϕ , then every model of T is a model of ϕ .
- (4) Assume as given that $L(Q_1)$ is compact and $L_{\omega_1\omega}$ has the Löwenheim-Skolem Property. Show that $L_{\omega_1\omega} \not\leq L(Q_1)$ and $L(Q_1) \not\leq L_{\omega_1\omega}$.

- (5) An abstract logic L has the **Finite Occurrence Property** if for every $\phi \in F$ there is a finite τ such that for all $M \in S$: $M \models \phi \iff M \upharpoonright \tau \models \phi$. Show that a compact logic always satisfies the Finite Occurrence Property. (Hint: Suppose $\phi \in F$. Write ϕ in two different but similar vocabularies using a name changer. Write down axioms saying that the vocabularies are interpreted identically. Add ϕ in one vocabulary and $\neg\phi$ in the other. You get a theory which has no models. Now use compactness.)

- (6) An abstract logic has the **Łoś property** if for every set $\{M_i : i \in I\}$ of structures of the same vocabulary and every ultrafilter D on I there is a structure M such that for all $\phi \in F$:

$$M \models \phi \iff \{i \in I : M_i \models \phi\} \in D.$$

Show that an abstract logic is compact if and only if it has the Łoś property. (Hint: Given $T \subseteq F$, let I be the set of all finite subsets of T .)

Note that you do not need so called ultraproducts in this exercise.

- (7) Suppose $L_{\omega\omega} < L$ and L is compact. Show that for some $\phi \in F$ there are $M \preceq N$ such that $M \models \phi$ but $N \models \neg\phi$. (Hint: In the lecture notes we got such M and N that $M \equiv N$, $M \models \phi$ and $N \models \neg\phi$. Let M^* be the expansion of M by giving a name for each element of M . Let $T = Th(M^*) \cup \{\neg\phi\}$. Now T has a model by compactness, and we are done.)
- (8) Suppose L is compact, $L_{\omega\omega} \leq L$, and $M \preceq N$. Show that there is M' such that $M \preceq_L M'$ and $N \preceq M'$. Here $M \preceq_L M'$ means that $M \subseteq M'$ and for all $a_1, \dots, a_n \in M$ the models (M, a_1, \dots, a_n) and (M', a_1, \dots, a_n) satisfy exactly the same L -sentences. (Hint: Show that $T = Th_L(M^*) \cup Th(N^*)$ has a model.)

- (9) A logic $L = (F, \models)$ has the **Tarski Union Property** if for all $M_0 \preceq_L M_1 \preceq_L \dots$ we have $M_m \preceq_L \bigcup_n M_n$ for all $m \in \mathbb{N}$. Show that if $L_{\omega\omega} \leq L$ and L satisfies the Compactness Theorem and the Tarski Union Property, then $L_{\omega\omega} \equiv L$. (Hint: Suppose $L_{\omega\omega} < L$. Use previous exercises to find M and N such that $M \preceq N$, $M \models \phi$ and $N \models \neg\phi$. Then find M_0 such that $M \preceq_L M_0$ and $N \preceq M_0$. Then find N_0 such that $N \preceq_L N_0$ and $M_0 \preceq N_0$. Then find M_1 such that $M_0 \preceq_L M_1$ and $N_0 \preceq M_1$. Etc. Then finish the proof by using the Tarski Union Property.)