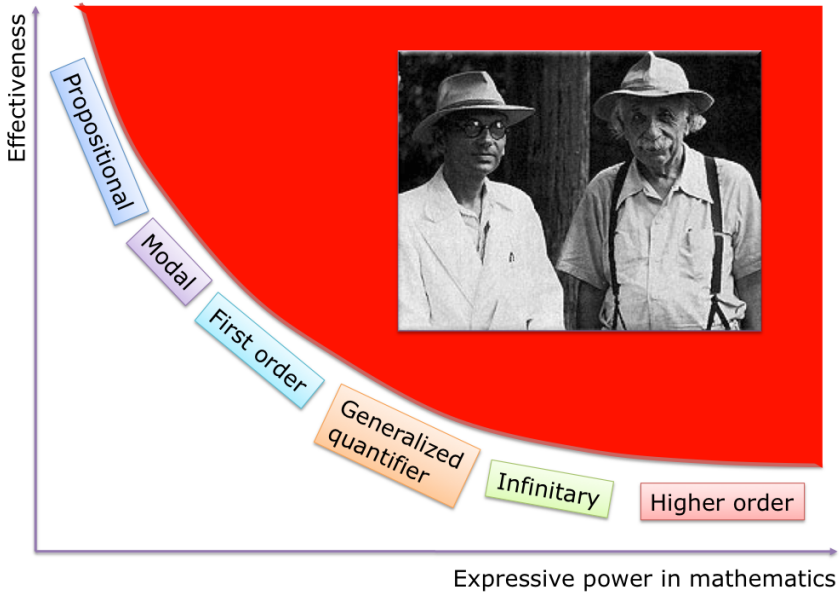


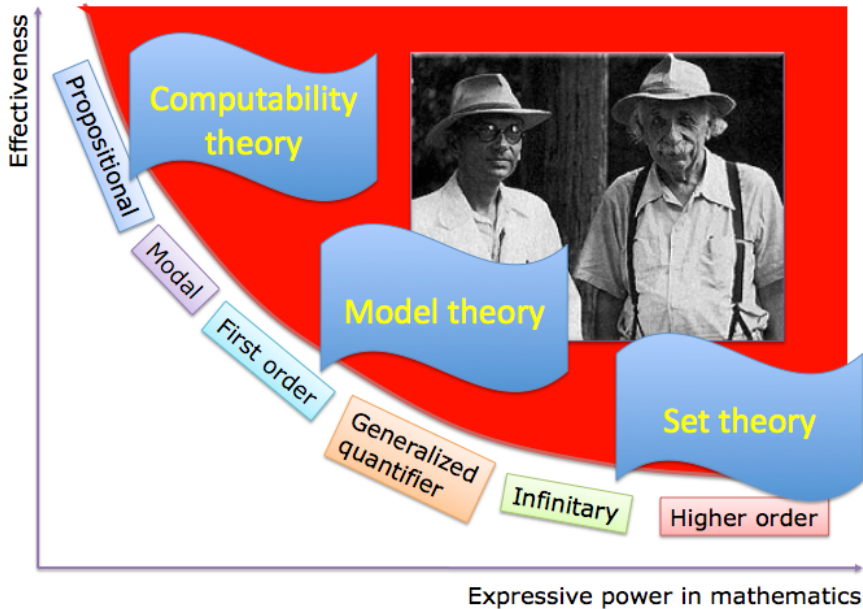
Strong Logics

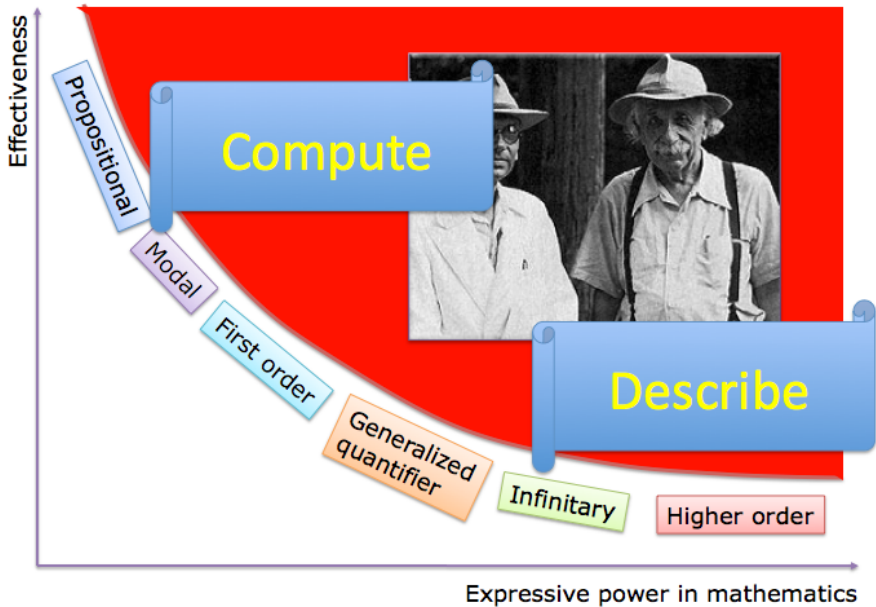
Jouko Väänänen

UH and UvA

June 1, 2010







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Second order logic L^2

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Second order logic L^2

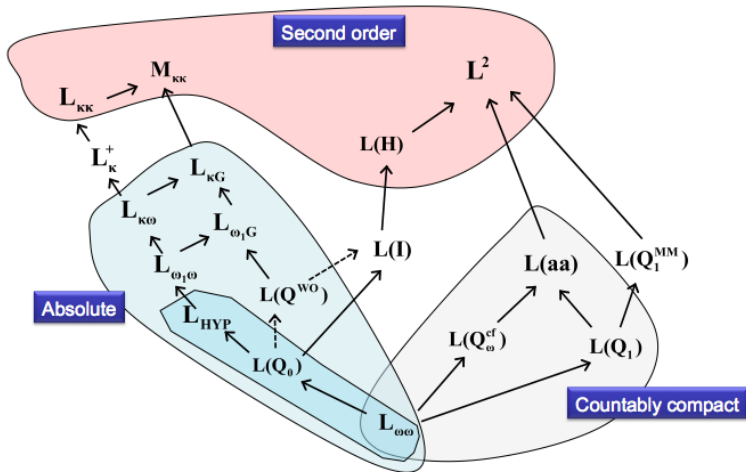
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- Infinitely many, uncountably many, equally many, free group, uncountable homogeneous set, well-founded, stationarily many,...
- Some have compactness properties, some have absoluteness properties.

The two trails to higher order



Definitions

- A **logic** is a pair (L, \models_L) , where L is a class called the class of L -formulas and \models_L is a relation between models and elements of L , respecting isomorphisms.
- A logic is **strong** if it is as strong as second order logic L^2 , at least in some inner models.

Examples

- A logic is **strongly unbounded** if two sentences can be found in the logic such that these sentences both have arbitrarily large models but no models of the same cardinality.

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- $\forall xy\phi(x, y) \iff$ for all x there is y such that $\phi(x, y)$, different y for different x .
- $\exists xy\phi(x)\psi(y) \iff |\phi(\cdot)| = |\psi(\cdot)|$.

Examples

- A logic is **strongly unbounded** if two sentences can be found in the logic such that these sentences both have arbitrarily large models but no models of the same cardinality.
- $Qxy\phi(x, y) \iff$ for all x there is y such that $\phi(x, y)$ but only countably many x correspond to the same y .
- $Qxy\phi(x, y) \iff$ for all x there is y such that $\phi(x, y)$, different y for different x .
- $Ixy\phi(x)\psi(y) \iff |\phi(\cdot)| = |\psi(\cdot)|$.
- $Qx\phi(x) \iff |\phi(\cdot)|$ is a limit cardinal.

Problem

Is it possible that there is a supercompact cardinal and V is the smallest inner model with the same cardinals as V ?

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The answer is yes for $L(I, Q_{\text{exp}})$, where

$$Q_{\text{exp}}xy\phi(x)\psi(y) \iff |\phi(\cdot)| = 2^{|\psi(\cdot)|}.$$

Not a strong (enough) logic

Well-order quantifier:

$$W_{xy}\phi(x, y) \iff \phi(\cdot, \cdot) \text{ is a well-order.}$$

Game-quantifier:

$$\forall x_0 \exists y_0 \forall x_1 \exists y_1 \dots \bigwedge_n \phi_n(x_0, y_0, \dots, x_n, y_n).$$

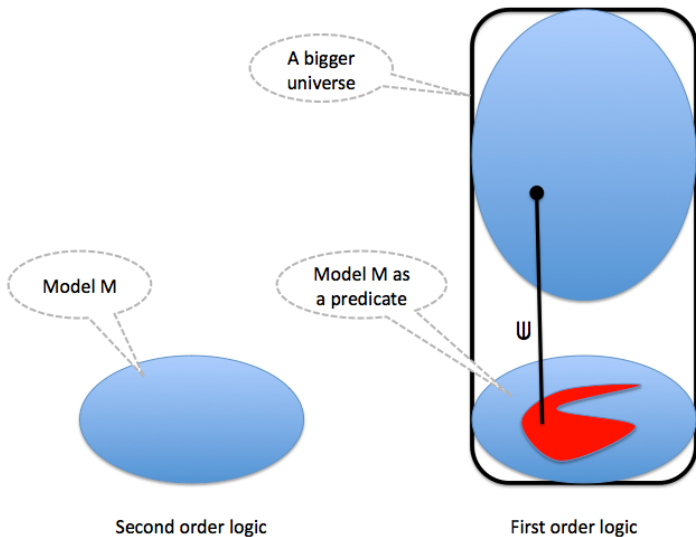
Infinitary languages $L_{\kappa\lambda}$, even when $\lambda > \omega$.

Above second order logic — third order logic?

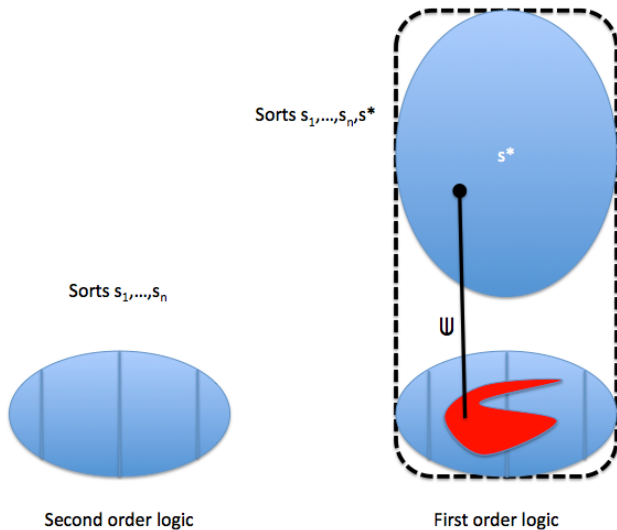
Fact (Hintikka 1955, Montague 1965)

Higher order logic can be reduced to second order logic.

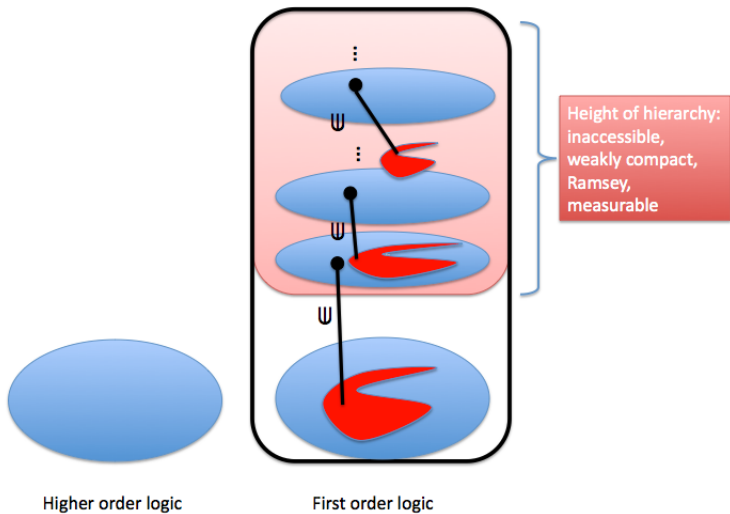
Basic superstructure $[N; M]$



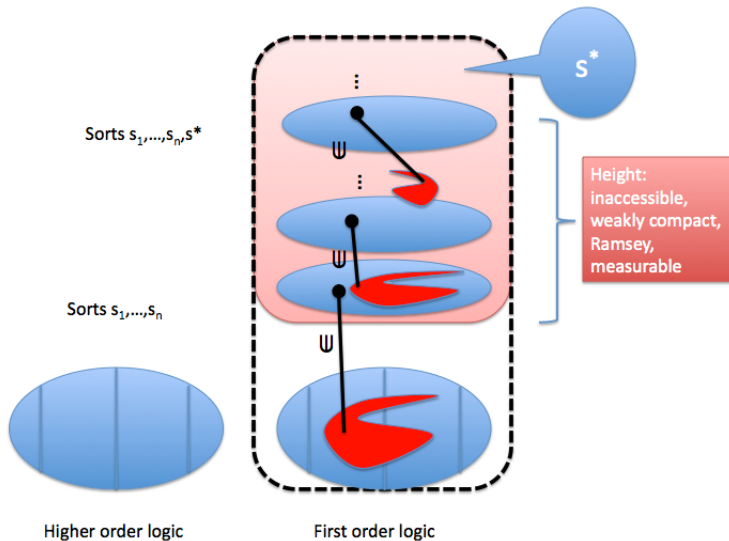
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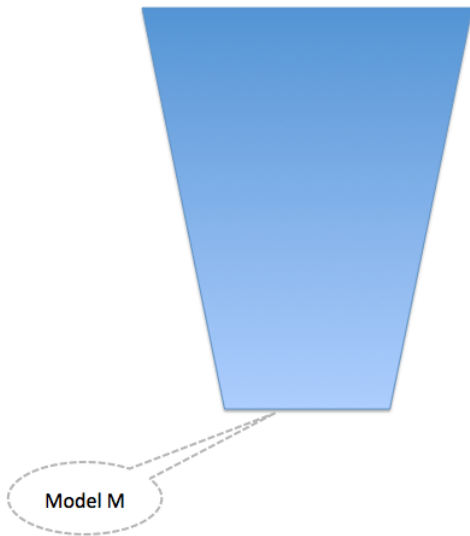
Enhanced basic superstructure $[N; M]$



Enhanced basic superstructure $[N; M]$



Set theory with urelements



Reduction of higher order logic to second order logic

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Reduction of higher order logic to second order logic

- Translate higher order ϕ to first order ϕ^* .
- Formulate a (Π_1^1) second order Θ which states the correctness of the superstructure.
- The following are equivalent:
 - 1 $M \models \phi$
 - 2 $[N; M] \models \phi^*$ for **all** $[N; M] \models \Theta$.
 - 3 $[N; M] \models \phi^*$ for **some** $[N; M] \models \Theta$.
- We did not define ϕ **explicitly** in L^2 , only implicitly, but this is enough for the invariants that we consider.

Definition

- The *decision problem* of a logic L is the set

$$\text{Val}(L) = \{\ulcorner \phi \urcorner : \phi \in L, \phi \text{ valid}\}.$$

- The *spectrum* of a formula ϕ is the class of cardinalities of models of ϕ . A class is a *spectrum* of L if it is the spectrum of some sentence in L .
- The *Löwenheim-number* of a logic L is

$$\ell(L) = \sup\{\min S : S \text{ is a spectrum of } L \text{ and } S \neq \emptyset\}.$$

- The *Hanf number* of a logic L is

$$h(L) = \sup\{\sup S : S \text{ is a spectrum of } L \text{ and bounded}\}.$$

Fact (Hintikka 1955)

- $Val(L^2)$ is not Π_n^m for any m, n .

Fact (Magidor 1971)

- *The first MC $< \ell(L^2) < \text{the first succ} < h(L^2) < \text{the first extendible}$.*

A cardinal κ is λ -**strong** if there exists an elementary embedding $j : V \rightarrow M$ with critical point κ such that $V_\lambda \subseteq M$. A cardinal κ is **extendible** if for all $\alpha > \kappa$ there exists β and an elementary embedding from $V(\alpha)$ into $V(\beta)$ with critical point κ .

Fact (V. 1977, 1981)

- *Val(L) can be Δ_3^1 (but not less) for strongly unbounded L.*
- *$\ell(L)$ can be $< 2^\omega$ for a strongly unbounded logic L. Can it be less than the first weakly inaccessible?*
- *$h(L)$ can be less than the first weakly compact for a strongly unbounded L.*

Theorem ([V. 2001])

The set $Val(L^2)$ is the complete Π_2 -set of natural numbers.

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Proof.

$Val(L^2)$ is Π_2 : Suppose $\phi \in L^2$. The following are equivalent:

- 1 $M \models \phi$
- 2 $\exists N([N; M] \models \phi^* \wedge \Theta)$

Thus (1) is Σ_2 (in M) and hence $Val(L^2)$ is Π_2 . □

Fact (Levy Reflection Principle)

If $\kappa > \omega$, then $H(\kappa) \prec_1 V$. If $\kappa = \beth_\kappa$, then $V_\kappa \prec_1 V$.

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$Val(L^2)$ is Π_2 -hard: Suppose $\exists x \forall y \phi(x, y, n)$ is Σ_2 . The following are equivalent:

- 1 $\exists x \forall y \phi(x, y, n)$
- 2 There is (M, E) such that $(M, E) \models (\exists x \forall y \phi(x, y, \underline{n}) \wedge \bigwedge ZFC_m)$, and $(M, E) \cong (V_\alpha, \in)$ for some $\alpha = \beth_\alpha$.

Thus (1) can be reduced to model existence in L^2 , and hence $Val(L^2)$ is Π_2 -hard.

Definition

Suppose K is a class of L -structures and $L' \subseteq L$. The **projection** of K to L' is the model class

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Examples

- Leaving out order from a class of ordered groups $(G, +, <)$.
- Leaving out field structure from a class of vector spaces.
- Leaving out “superstructure”.

Definition (Tarski)

A model class K is

- **PC** in a logic L , $K \in \Sigma(L)$, if K is the class of projections of a model class definable in L .

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- **PC** in a logic L , $K \in \Sigma(L)$, if K is the class of projections of a model class definable in L .
- **co-PC** in a logic L , $K \in \Pi(L)$, if K is the complement of a class of projections of a model class definable in L .

Like analytic, or Σ_1^1 , sets.

Definition

The Δ -extension of a logic L is the family $\Delta(L)$ of all model classes that are projective and co-projective in L . We think of $\Delta(L)$ as a logic.

Analogous to Δ_1^1 or Δ_1^2 .

Examples

- $\Delta(L_{\omega\omega}) = L_{\omega\omega}$.

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- In most known other cases $L < \Delta(L)$.
- $Val(L)$, $\ell(L)$ and (in most cases) $h(L)$ are invariant under the Δ -operation. [V. 1983].
- Reduction via superstructure: “All” higher order logics have the same Δ -extension, hence the same decision problem, as well as Löwenheim and Hanf numbers.

Definition

If P is a predicate of set theory, let $K[P]$ be the class of structures isomorphic to $(M, \in, a_1, \dots, a_n)$ for some transitive M and some $a_1, \dots, a_n \in M$ such that $P(a_1, \dots, a_n)$.

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Definition ([V. 1979])

A predicate P of set theory and a logic L are **symbiotic** if

- $K[P]$ is $\Delta(L)$ -definable,
- If $\phi \in L$, then $\text{Mod}(\phi)$ is $\Delta_1(P)$ in ϕ .

Examples

- L^2 (and L^3, L^4, \dots) and \mathcal{P} are symbiotic.

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- $L(I)$ and Cd are symbiotic.
- $L(W)$ and On are symbiotic.

Theorem

Suppose a predicate P and a logic L are symbiotic. Then the following conditions are equivalent for any model class K :

- 1 K is $\Sigma(L)$ -definable (in logic).
- 2 K is $\Sigma_1(P)$ -definable (in set theory).

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Respectively, Π_n -definable or Δ_n -definable.

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For $n > 1$: $\pi_n = \delta_n < \tau_n < \sigma_n = \pi_{n+1}$

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Example

For $n > 1$:

1st MC $< \pi_2 < \tau_2 < 1\text{st succ} < \sigma_2 = \pi_3 < \tau_3 < 1\text{st extendible} < \dots$

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Logics stronger than L^2

Definition

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Fact

$$L^2 < \Delta_2 < \Delta_3 < \dots$$

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Corollary

$$h(\Delta_n) = \ell(\Delta_{n+1}).$$

Definition

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Theorem

Every (definable) model class is definable in L^s . Every abstract logic is a sublogic of L^s .

Fact

In its many-sorted disguise sort logic permits a simple description. One adds to second order logic the quantifier "there exists a sort such that ..."

Definition ([Stavi-V. 2002])

The Löwenheim-Skolem-Tarski number $LST(L)$ of a logic L is the least κ such that for any model M there is $N \prec_L M$ of cardinality $\leq \kappa$.

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Definition

The Upward Löwenheim-Skolem-Tarski number $ULST(L)$ of a logic L is the least κ such that for any model M of cardinality $\geq \kappa$ there is N of arbitrary large cardinality $\geq \kappa$ such that $M \prec_L N$.

Fact

- $LST(L) = ULST(L) = \omega$ characterizes first order logic (Per Lindström).
- $LST(L)$ can be $\leq 2^\omega$ for a strong logic.
- $\ell(L) \leq LST(L)$.
- $h(L) \leq ULST(L)$.

Theorem (Magidor 1971)

- *The first supercompact = $LST(L^2) < ULST(L^2) \leq$ the first extendible.*

Recall: The first MC $< \ell(L^2) <$ the first succ $< h(L^2) <$ the first extendible.

Theorem ([Magidor-V. 2010])

For the strongly unbounded $L = L(I)$:

- If $LST(L)$ exists then SCH holds above $LST(L)$ and PD hold. In fact \square_λ fails for all $\lambda \geq LST(L)$, and moreover $\square_{\lambda,\lambda}$ fails if $\text{cof}(\lambda) = \omega$.

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- *It is consistent, relative to the consistency of a supercompact cardinal, that $LST(L)$ is the first weakly inaccessible cardinal (and 2^ω is above it).*

Equicardinality quantifier

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Corollary

$\ell(L)$ can be less than the first weakly inaccessible cardinal.

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- Equivalently: For every class A there is an A -supercompact cardinal.
- A cardinal κ is A -supercompact if for all $\eta > \kappa$ there is $\alpha < \kappa$ and an elementary embedding $j : (V_\alpha, \in, A \cap V_\alpha) \rightarrow (V_\eta, \in, A \cap V_\eta)$ with a critical point γ such that $j(\gamma) = \kappa$.

Theorem (Stavi, [Magidor-V. 2010])

The following are equivalent:

- *Vopenka's Principle holds.*
- *LST(L) exists for every logic L.*

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- Large cardinals of set theory correspond to model theoretic properties of various fragments of sort logic (Löwenheim and Hanf numbers).
- Definability ($\Delta_1(P)$) in set theory corresponds to implicit (Δ)-definability in various fragment of sort logic (symbiosis).

- Sort logic and set theory are one and the same thing in two disguises.
- Large cardinals of set theory correspond to model theoretic properties of various fragments of sort logic (Löwenheim and Hanf numbers).
- Definability ($\Delta_1(P)$) in set theory corresponds to implicit (Δ)-definability in various fragment of sort logic (symbiosis).
- The strongest logic is set theory itself (more exactly, sort logic).



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Thank you!